



SWORD

UPGRADE YOUR BUSINESS

Sword**ActiveRisk**

Media FAQs

What does Sword Active Risk do?

Sword Active Risk provides the world's first Enterprise Risk Management (ERM) software to drive business performance by enhancing visibility, accountability and confidence.

Active Risk Manager (ARM), the Company's web-based ERM software, helps organizations address all types of risk, from project risk through enterprise-wide governance, risk and compliance (GRC). With ARM, executives, risk professionals and project professionals have a truly comprehensive view of their organization's risk, issues and opportunities, enabling them to make better-informed decisions and create competitive advantage.

Sword Active Risk provides the ARM risk management software, related services and training to ensure organizations implement a successful ERM program that delivers on business objectives.

Where is Sword Active Risk based?

Sword Active Risk's main offices are in Maidenhead in the UK and Herndon, VA in the USA. In 2012, Sword Active Risk opened additional offices in Melbourne and Sydney, Australia to service the increased demand in the region. Sword Active Risk also works with a growing global network of resellers and partners.

Full contact information is available at www.sword-activerisk.com/company/contact-us

What industries does Sword Active Risk serve?

Sword Active Risk has blue chip customers in a wide range of industries.

Sword Active Risk proactively targets the aerospace and defense, energy and mining, engineering and construction and government sectors. In addition, Sword Active Risk has extensive experience in other industries, including insurance, financial services, pharmaceuticals, food and beverage and transportation.

Who are Sword Active Risk's customers?

Active Risk Manager is used by many of the world's most respected and demanding organizations including a variety of Fortune 500 and FTSE 100 companies. ARM is used on some of the globe's most complex, high-profile capital projects and programs.

Sword Active Risk's customers include:

- Aerospace and Defense: Airbus, Raytheon, Eurocopter, General Dynamics, Lockheed Martin and Northrop Grumman, among others.
- Energy and Mining: Rio Tinto, Westinghouse, Essent, Alstom and NorthWestern Energy, among others.
- Engineering and Construction: Bechtel, Crossrail, Leighton Contractors, Atkins, KBR and ABI Group, among others.
- Government: U.S. Air Force, U.S. Army, U.S. Department of Energy, NASA, U.S. Department of Homeland Security and the Ministry of Defense, among others.
- Other Industries: Amlin, Teva Pharmaceuticals, Transport for London, AT&T, Roche, Nestle and the Dow, among others.

For more customer details, visit www.sword-activerisk.com/customers

What differentiates Sword Active Risk from other companies of its type?

Traditional risk management software stores data for compliance purposes but does little to inform decision making. Sword Active Risk uniquely focuses on enabling organizations to take more intelligent risks to drive business performance, innovation and growth.

Active Risk Manager from Sword Active Risk is the first and only solution that can collect all risk and opportunity data from anywhere within an organization and its value chain. By focusing on six core steps of ERM, the Sword Active Risk approach ensures that business leaders have comprehensive, actionable data to make the decisions which will add value to their organization. The six core ERM steps are:

- 1. Identify** What are the risks?
- 2. Analyze** What are the impacts of these risks?
- 3. Control** What are the steps to effectively manage the risks established?
- 4. Monitor** Manage accountability.
- 5. Improve** Streamline and enhance the ERM process.
- 6. Report** Provide actionable data to ensure business performance and visibility.

What are the benefits of using Active Risk Manager (ARM) software?

The many benefits of using the Active Risk Manager (ARM) solution include:

Improved decision making based on comprehensive data

ARM collates and analyzes all risk and opportunity information so that decision makers see the complete picture.

Decision makers stay ahead of the risks with real-time, comprehensive information

ARM collects all risk data and makes it instantly available through executive dashboards, alerts and reports.

Simplified risk management with a proven six-step approach

Sword Active Risk reduces the complexity of risk management with its six-step approach that embeds the risk process right across the business.

ARM provides a single, secure repository for risk-related data and enables an auditable risk process

ARM provides the comprehensive risk management system of record for the business in a single secure and auditable database which replaces multiple in-house and spreadsheet solutions.

ARM embeds a risk culture throughout an organization

ARM not only provides the solution for risk professionals, but also involves every stakeholder in the risk process through simple, role-based access that makes adoption fast and easy.

Why is Enterprise Risk Management (ERM) important?

Successful business is all about taking risks and making the most of opportunities. Today's organizations face unprecedented pressure to improve performance, stay lean, protect corporate reputation and add shareholder value. It's vital that organizations identify the risks and opportunities from right across the business and have full information to make informed decisions to improve performance.

ERM provides the framework and processes which empower business leaders to tackle risk head-on – proactively identifying, understanding and managing risk to position organizations for sustainable, long-term growth.

Does Active Risk Manager (ARM) support international standards?

ARM supports the broadest range of risk, governance and compliance standards including: COSO (ERM & Controls), ISO 31000, PMBOK, HIPAA, FDA, CMI, COBIT, SOX, HSE, The Orange Book, EFQM, Basel II and Turnbull. In addition, ARM is in use today in process improvement models such as Six Sigma, LEAN, Critical Chain, TQM and many others.

Does Active Risk Manager (ARM) integrate across enterprise platforms?

ARM takes advantage of a range of industry-standard products, such as Microsoft SQL Server and Oracle databases, Microsoft Reporting Services, Report Builder and SharePoint. ARM also seamlessly interfaces with Microsoft Excel and a range of widely used project planning and requirements management packages, including Microsoft Project, Oracle Primavera and IBM DOORS.

Is Sword Active Risk a public company?

Sword Active Risk Group plc is a public company and is listed on the London Stock Exchange Alternative Investment Market (AIM). As such, Sword Active Risk gives regular financial updates and publishes annual reports. The EPIC code is “ARI.”

For investor information visit
www.sword-activerisk.com/company/investor-relations

When was Sword Active Risk founded?

Sword Active Risk was founded as a specialist technology company in 1987 under the name Strategic Thought Group (STG). STG launched Active Risk Manager, the world’s first Enterprise Risk Management software, in 2001. In 2011, the Company changed its name to Active Risk to more accurately reflect its position as an Enterprise Risk Management leader.

Who is in the leadership team at Sword Active Risk?

Sword Active Risk is led by a team of some of the most experienced executives in the technology sector, including:

Management Team:

Nick Scully	Chief Operating Officer
Alison Berry	Chief Financial Officer
Keith Ricketts	Chief Marketing Officer
Joshua Wolfe	Vice President of Americas Sales and Operations

For biographies, please visit
www.sword-activerisk.com/management-team

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